



# GRANTS POLICY 2026

*The Grants Policy guides Trust Waikato's distribution of a proportion of its funds to enable a wide range of community groups and projects that support vibrant and resilient communities.*

## **Version**

22.0

## **Adopted by Trust Board**

16 October 2025

## **Review Schedule**

Annually or as required

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## 1.0 Introduction

This document summarises the Trust's grants policies, which exist within the context of the Trust Deed and the Trust's vision, mission and principles. For completeness these overarching purposes are set out below:

### 1.1 Trust Strategic Plan 2017-2027

**Trust Object:** The Trustees shall stand possessed of the Trust Fund UPON TRUST to be applied for charitable, cultural, philanthropic, recreational and other purposes beneficial to the community principally in the Specified Area.

**Trust Vision:** Our vision is for resilient and vibrant Waikato communities.  
Ko too maatou moemoea kia tipu, kia hua ngaa haapori.

**Trust Mission:** Our mission is to invest wisely, grant effectively and be operationally agile.

The Trust's role in providing support to enhance community vibrancy is balanced by more targeted funding that seeks to deliver on the Trust's strategic priorities for impact.

### 1.2 Ngaa Pou Rautaki and Mana Whakahaere Practice

#### **Te Tiriti o Waitangi – Anga Whakamuri-Anga Whakamua**

Trust Waikato embraces the articles of Te Tiriti o Waitangi: Kaawanatanga – Honourable Governance; Rangatiratanga – Self-determination and Ooritetanga – Equity.

We acknowledge Maaori as tangata whenua and their mana as kaitiaki of te Taiao and the people. Acknowledging and weaving their rich narratives and cultural practices into all we do is vital to achieving our vision of vibrant and resilient Waikato communities.

We value our engagement with tangata whenua, enabling meaningful conversations and connections through waananga and understanding the aspirations of Maaori, and tautoko strengths-based opportunities for our communities to contribute to a thriving Waikato hapori.

We are committed to continuing our journey into Te Ao Maaori by strengthening relationships and collaborating with tangata whenua in the communities in which we serve.

#### **Impact Commitment – Anga Whakamuri-Anga Whakamua**

We invest and tautoko projects that support access, engagement, or participation in community-led initiatives and other development activities that enhance community aspirations.

Our grant-making framework ensures that our funding is accessible to a diverse range of communities and organisations. We balance everyday transactional granting with targeted funding that seeks transformational change.

We are committed to a strengths-based approach in supporting the aspirations of iwi and hapuu, and our diverse communities through the lens of our Te Tiriti o Waitangi commitment. We value our community partnerships and collaborations and recognise their potential to deliver transformational change for the people, families, communities, and places where need is greatest.

Understanding the impact of our giving is fundamental to our role. We foster an environment of learning to help us understand how we respond to our communities' needs and aspirations, the outcomes achieved, and whether our grants strategies are contributing to a thriving Waikato hapori.

#### **Equity Commitment – Anga Whakamuri-Anga Whakamua**

Equity recognises that people have differing levels of advantage and therefore require different approaches and resources to reach their full potential.

The Trust strives for a thriving Waikato hapori, a community which ensures everyone has access to the same opportunities, one that acknowledges different starting places, and seeks to remove structural and institutional barriers to correct imbalances. A place where all peoples feel they have a voice, can actively participate, and belong.

To achieve the Trust's vision for vibrant and resilient communities, we are committed to building a more just and equitable Waikato. A Waikato where Te Tiriti o Waitangi is embraced, and all people have an opportunity to grow, contribute, and thrive.

#### **Sustainability Commitment – Anga Whakamuri-Anga Whakamua**

The Trust acknowledges that human-induced climate change poses a serious and immediate risk to our communities, and that urgent action is required to avoid catastrophic effects for our people and environment.

We believe we have a role to play in mitigating the most severe impacts of climate change, and that we need to work together to develop a resilient community response to ensure true kaitiakitanga (guardianship) of our resources for future generations. The effects of climate change will not be felt equally amongst our community, and we need to mitigate the inequality of these impacts.

Trust Waikato is committed to becoming a climate leader, to enable a low-emissions future. This will be done by actioning our climate change framework:

- Grant making – we aim to understand the impact that grants make to reduce climate change and to provide support to the community to understand and reduce their own climate emissions.
- Operations – we will develop transparent and measurable, actions and reporting to reduce climate change emissions that result from the operational activity of Trust Waikato.
- Investment portfolios – by evaluating the impacts of Trust Waikato's investments we will reduce the climate change emissions of the Trust's investment portfolios.

#### **Mana Whakahaere Practice**

Our practice acknowledges the rights of all people and communities to have full autonomy over determining their own wellbeing and destiny. We prioritise initiatives and strive to engage in ways that are:

- Mana-enhancing — recognising the mana (dignity) of others
- Strengths-based and amplify diverse community voices

- Building the capacity and capability of whaanau, hapuu, iwi and communities
- Empowering people and communities to address their own issues
- Upholding the cultural values of those we engage with.

## 2.0 Overview

Trust Waikato distributes a proportion of its funds annually, primarily through three Community Response Grants rounds and an annual Significant Capital Projects Fund round. Community Impact Grants, aimed at bringing about transformational change, may be considered at any time. These grants support a wide range of community groups and the projects they undertake, with the ultimate aim of benefiting people in the Trust's region.

### **Trust Region:**

The Specified Area set out in the Trust Deed “means all that area comprising the counties of Coromandel, Hauraki Plains, Matamata, Ohinemuri, Otorohanga, Piako, Raglan, Taumarunui, Thames, Waikato, Waipaa, and Waitomo and including all cities, boroughs and town districts surrounded by or contiguous to those counties, as the said counties were constituted on the first day of October 1964.”

In today's terms these are largely the areas of the following territorial local authorities: Hamilton City, Thames-Coromandel, Hauraki, Matamata-Piako, Waipaa, South Waikato, Waikato, Otorohanga, Waitomo and Ruapehu.

The Trust acknowledges that this area includes much of the lands of the Tainui waka, and thus the people of Waikato, Raukawa, Hauraki and Maniapoto.

Applications must be made using the Trust's online application form and received by closing date for the relevant fund.

### **3.0 Current Grant Focus**

During the development of the Trust's 2017-2027 Strategic Plan, the Trust confirmed its focus on projects, programmes and organisations that deliver resilient and vibrant Waikato communities.

**The Trust's strategic priorities for impact include:**

Facilitating improved outcomes for the highest need communities in our region. Through this priority, the Trust will have a strategic focus on:

- enhancing the intergenerational wellbeing of children, young people, and whaanau/families,
- increasing resilience and connectivity in a rural/small communities,
- increasing strength-based initiatives that achieve positive outcomes for Maaori.

**Strengthening the community sector by:**

- supporting key community stakeholders to strategically increase their capacity and capability,
- facilitating conversations about partnership and investing in collaboration,
- enabling change-maker organisations through investment in innovation.

## 4.0 Grant Categorisation

Grant applications are categorised, by staff, according to the New Zealand Standard Classification of Non-profit Organisations (NZSCNPO) as specified by Statistics New Zealand.

**The categories are:**

1. Culture, sport and recreation
  - 1.1 Culture and arts
  - 1.2 Sports
  - 1.3 Other recreation and social clubs
2. Education and research
3. Health
4. Social services
5. Environment
6. Development and housing
  - 6.1 Tangata Whenua governance organisations
7. Law, advocacy, and politics
  - 7.2 Legal services, rehabilitation of offenders, victim support and crime prevention.
8. Grant making, fundraising and voluntarism promotion
9. International
10. Religion
11. Business and professional associations, unions
12. Not elsewhere classified

These categories assist the Trust to understand the impact of its grants and to track priorities and trends in grants over time.



## **5.0 Grants Budget**

Each year the Trust sets the budget for the following year's grants based on the Trust Waikato Financial Policy. The Trust aims to maintain a consistent level of grants. However, the grants budget may be affected by the Trust's level of reserves and return on investments.

A small portion of the annual grants budget is set aside as a Grants Contingency Fund for out of round applications to provide for emergency situations or events which could not have been anticipated.

Any application for a very large capital project that has regional significance, or greater, may be considered outside the annual grants rounds (see Section 6.0).

## 6.0 Annual Grants Rounds

Trust Waikato's funding framework is designed to ensure that the Trust's funding is available to a wide range of communities and organisations.

Trust Waikato's funding framework is as follows.

### 6.1 Community Response Grants

There are generally three Community Response Grant rounds per annum. These rounds close in February, June and September, with payments made to successful organisations within 12 weeks.

Usually only one application will be accepted from each organisation in a round.

Organisations can generally only receive one Community Response Grant per annum. A group which has had an application declined, may make an application to a later round in the same year (see Section 8.0).

Groups should have spent the previous year's grant and completed an accountability report before applying again.

### 6.2 Significant Capital Projects Fund

For capital projects of \$1 million or more applications must come to the Significant Capital Projects Fund (SCPF) and not one of the three Community Response grant rounds. There is one funding round each year, closing on the same date as Round 2 of the Community Response Grant.

**Interested groups must contact the Chief Executive or Pouhere Puutea/Grants Manager to discuss a potential significant capital project before making an application.**

Applications must include a completed, up-to-date feasibility study.

The Trust will be proactive in discussing major projects with other funders (see Section 14.0).

The Trust's funding decisions will be guided by our Ngaa Pou Rautaki (see Section 1.2).

Those organisations with committed Significant Capital Projects Fund grants that are not paid out within 12 months of the approval (see When Project Proceeds, Section 10.4), must report progress on the project to the Trust Waikato Board, before consideration of the next round of SCPF applications.

### 6.3 Community Impact Grants

The Trust may invite applications for Community Impact Grants aimed at bringing about transformational change. Community Impact applications will be considered by the Board, once they are assessed and a recommendation has been prepared by Trust staff.

Community Impact Grants support large-scale projects that aim to result in transformational change for the people, families, communities, and places where the need is greatest. These grants may result in multi-year funding commitments.

Collaboration is acknowledged as key to addressing complex problems, which are beyond the capacity of any one group working alone. The Trust will enter into a partnered relationship where there is a focus on shared values, principles and goals, and an explicit attempt to seek some form of permanent improvement to community wellbeing.

Invited applicants for community impact funding, will need to provide additional information with their application. The information will vary depending on the nature of the potentially transformational project and will be detailed when the invitation to apply for a Community Impact Grant is extended.

Community impact funding, if approved, will be subject to the establishment of a collaborative agreement for reporting the achievement of agreed goals at interim points. An appropriate evaluation is required and the Trust may contribute towards this cost.

#### **6.4 Multi-Year Grants**

The Trust may invite applications for Multi-Year Grant commitments for Community Impact Grants or in any of the three Community Response Grants rounds.

In making Multi-Year Grants, the Trust is mindful of the need to balance commitments to Multi-Year Grants and annual grants.

Normally Multi-Year Grant commitments will be for the same grant amount each year and will not usually be for amounts less than \$20,000.

Organisations receiving a Multi-Year Grant commitment may also apply for a grant towards capital works or a significant new service over the same time.

#### **6.5 Kaupapa of National Significance – New Zealand wide Applications**

Kaupapa of National Significance – New Zealand wide applications are considered by the Combined Community Trusts of Aotearoa. Groups interested in making a New Zealand wide application must contact the Grants Team to discuss the process before making an application. Key principles when considering nationwide applications include:

- a. Giving effect to Te Tiriti o Waitangi through active partnership with Maaori, that is respectful of tino rangatiratanga and Te Ao Maaori perspectives and supports Maaori leadership and engagement.
- b. Collaboration: Where possible, work together to co-fund initiatives that support groups to access resources and have better collective impact.
- c. Transparency: Work proactively with applicants to provide clear information about the combined application process, decision making criteria, and timelines.
- d. Equity of process: Applicants are treated equitably with access to consistent information. Guidelines for applicants in such areas are found at Appendix C.

## **7.0 Contingency Applications**

Contingency applications (those received outside of the grant round timetable) will not be considered except where there is an emergency situation or an event which could not have been anticipated.

## **8.0 Group Eligibility**

### **8.1 Geographic Location**

In general, organisations eligible for grants must operate in the Trust area (see Section 2.0). National organisations will be considered for grants to the extent that they provide benefit to the Trust's region. Those organisations that fall slightly outside the boundaries of the region will also be considered to the extent that they provide benefit to the region.

### **8.2 Type of Organisation**

In general, organisations eligible for grants must:

- a. be an incorporated society, or
- b. be a registered charitable trust, or
- c. be a limited liability company operating for charitable purposes, or
- d. be controlled or co-ordinated by an arm of central or local government, or
- e. be controlled by an association of persons under an adopted constitution and rules.

### **8.3 Specific Provisos**

The following organisations are subject to specific provisos:

- a. The Trust will not fund church-based or religious activities, which should in the view of a reasonable person, be the responsibility of churches or religious organisations to fund. The Trust only funds projects being sponsored by churches or religious organisations where there is a clear wider community benefit that will be derived from such a project.
- b. The Trust will consider projects sponsored by local or central government in a co-ordinating role. However, see Section 13.0 Areas of Government Responsibility.
- c. Schools (including Parent Teacher Associations) must make applications through their Board of Trustees.
- d. The Trust cannot fund purely for-profit groups. However, it will consider social enterprise initiatives and applications from for-purpose groups that have an aim of building capacity and supporting communities. through enhanced economic activity.
- e. The Trust will not fund those groups that have a polarised political activity as their main focus unless there is wider community benefit.

Organisations can contact the Grants Team to discuss projects that might be considered.

## 9.0 Project/Programme Eligibility

### 9.1 Eligible Operations and Projects

In making grant decisions, the Trust will consider the following:

- a. organisations that offer their facilities or services for the benefit or enjoyment of the public and contribute to community vibrancy and resilience,
- b. organisations that have a proven track record in their area of operation,
- c. the Trust may support new organisations that have significant potential and that can show community support for their operation,
- d. organisations that embody 'mana whakahaere' (services established and delivered by people with lived experience – see Section 1.2),
- e. organisations applying for projects that align with the Trust's Ngaa Pou Rautaki (see Section 1.2) and strategic priorities (see Section 3.0).

The Trust will consider the overall level of community benefit that could be derived from the applicant group or the project. Some organisations and projects may be considered lower priority for funding due to our strategic focus and demands on funds.

### 9.2 Ineligible Projects

Generally, the Trust will not make grants for:

- a. cash prizes and trophies
- b. conference attendance or accommodation
- c. high performance and elite sport activities
- d. endowments or venture capital
- e. fundraising
- f. loan repayments or other debt instrument repayments
- g. religious ministry
- h. retrospective expenses for completed projects or events
- i. subscription or affiliation fees
- j. travel overseas or outside of the region
- k. uniforms
- l. vehicles

The Trust **does not fund individuals or family/whaanau trusts**.

The Trust **does not** fund core educational functions such as books, computers, basic educational resources, teachers' salaries, and operational expenses. Specific guidelines for education applications are provided in Appendix A.

Potential applicants are encouraged to contact the Grants Team as exceptions may be made where the project can demonstrate strong links to a focus for the Trust (refer to Section 1.2).

## **10.0 Assessment of Applications**

### **10.1 Information Required**

Applications must be made on the Trust online application form.

The following documentation is required in addition to the completed application form:

- annual financial statements,
- Chair's report, or report on previous year's activities,
- latest bank statements, for all accounts,
- a pre-encoded bank deposit slip or bank verified account details,
- accountability for any previous grants,

applicants must apply for funds for a specified purpose. A greater level of detail and project justification will be required for applications which request larger amounts such as feasibility studies, funding plans, quotes, and detailed budgets. A grant can only be direct credited to a group's (not an individual's) bank account, hence a pre-encoded bank deposit slip or bank verified account details are essential.

The most recent financial statements must be included with an application. The assessment process includes analysing the financial sustainability of applicant organisations.

Organisations must ensure their financial statements comply with the External Reporting Board standards introduced on 1 April 2015. Further information on the accounting standards can be found at the following websites: [www.charities.govt.nz](http://www.charities.govt.nz) and [www.xrb.govt.nz](http://www.xrb.govt.nz).

### **10.2 Late Applications**

Applications to all funding rounds close at 4.30pm on a Friday. Extensions to the deadline are not given. Applications received after 4.30pm on the closing date for a funding round are accepted into the next round.

### **10.3 Level of Financial Reserves**

The Trust acknowledges it is prudent for organisations to carry financial reserves for their operations and that funding may be tagged for specific projects. The Trust also acknowledges some groups receive bequests, endowments or other investments, which may be tagged for special purposes, including ongoing income generation.

However, if an organisation is carrying reserves greater than one year of operating costs, with the funding not tagged for special projects, then the Trust is less likely to approve a grant to the organisation.

### **10.4 When Project Proceeds (WPP)**

In general, the Trust only commits funds to projects when it is clear the project will be able to proceed within a year.

- a. 'When project proceeds' (WPP) grants indicate a grant commitment will not be paid until the organisation provides evidence that the project is going ahead as outlined in the original application. This includes confirmation that funding for the whole project has been obtained.
- b. The organisation must complete an online WPP Payment Request.

- c. If the project has not proceeded within a year of the application being made, the organisation must complete an online When Project Proceeds Update. WPP grants are generally available for two years, after which time the grant commitment is withdrawn, unless an extension is granted.
- d. An extension may be sought by completing an online WPP Extension Request for approval by the Chief Executive under the Delegation of Authority Policy, or the Trust Waikato Board. If an extension is not approved, the grant commitment will be withdrawn. Should the project be pursued at a future date, the organisation may submit a new application for a grant but must make a strong case for the viability and likelihood of the project going ahead within a year.

#### 10.5 **Change of Use**

The Trust expects that grants will be spent for the purpose for which they are granted and that organisational or community benefits will be the result. Grants should not be donated to other community groups. Groups may request a change of use for a grant by contacting the Grants Team. Using a grant for a purpose other than that approved by the Trust may affect future applications to the Trust.

#### 10.6 **Fraud**

The Trust views seriously any attempt to defraud the grant process and will take action if false or misleading information is provided, or information which has a bearing on the application is not disclosed, at any time during the grant process, or when reporting on the accountability and outcomes of a grant.



## **11.0 Grant Amounts**

### **11.1 Considerations**

The amount granted to each group will take a range of factors into consideration. The minimum grant is \$1,000 and there is no maximum set. The main considerations are the size of the group, the group's history, the project being undertaken, community benefit, and the project's alignment to the Trust's Ngaa Pou Rautaki (Section 1.2) and our strategic priorities (Section 3.0).

### **11.2 Level of Contribution**

In practice, the Trust tends to fund up to 10% of the project costs. This level of contribution means that there is still a significant requirement for the group to raise the rest of the funding themselves.

The 10% policy for projects can be varied up to 20% if:

- there is significant alignment to the Trust's Ngaa Pou Rautaki, and strategic priorities.

In exceptional circumstances, on a case-by-case basis, the Trust may consider funding a higher percentage. See Sections 6.2 Significant Capital Projects Fund and 6.3 Community Impact Grants.

## 12.0 Accountability

An online accountability report will be required either when the group makes a subsequent application or within 12 months of receiving the grant, whichever comes first.

As shown in the table below, the level of accountability detail varies with the size and type of grant:

Grant type:	Accountability required:
Community Response ≤ \$20,000	<ul style="list-style-type: none"><li>• Accountability Report \$20,000 and Under</li></ul>
Community Response between \$20,000 and \$50,000	<ul style="list-style-type: none"><li>• Accountability Report</li></ul>
Community Response ≥ \$50,000	<ul style="list-style-type: none"><li>• Accountability Report (\$50,000+)</li></ul>
Multi-Year	<ul style="list-style-type: none"><li>• Multi-Year Progress Application</li><li>• Accountability Report</li></ul>
Significant Capital Projects Fund	<ul style="list-style-type: none"><li>• When Project Proceeds Update</li><li>• WPP Payment Request</li><li>• Accountability Report (\$50,000+)</li></ul>
Community Impact	<ul style="list-style-type: none"><li>• Accountability Report (\$50,000+)</li><li>• Impact Report</li></ul>

## **13.0 Areas of Government Responsibility**

### **13.1 Criteria for Funding Decisions**

The Trust funds projects and organisations in partnership with the government. However, the Trust does not wish to take over areas that are clearly the responsibility of central or local government. The following questions are considered when deliberating on such applications:

- a. Would a reasonable person expect the government to fund this project?
- b. Can the organisation afford to fund the project itself?
- c. Will the organisation's members directly benefit from the project?
- d. Is there a more general community wellbeing benefit from the project?
- e. Given all the circumstances, will the project make a real difference in the organisation and/or community?

### **13.2 Exceptions**

At times the Trust will consider moving into areas which would traditionally be considered the responsibility of local or central government. However, any such applications will be treated cautiously and on the merits of the application.

### **13.3 Guidelines for Educational Applications**

Guidelines for applicants in such education areas are found at Appendix A.

## **14.0 Consultation**

### **14.1 Other Funders**

Trust Waikato engages proactively with other funders. The Chief Executive and Grants Team may discuss draft grant recommendations on a confidential basis with appropriate parties.

Funding priorities of other funders may be considered when making grant recommendations and decisions.

### **14.2 Local Authorities**

Local Authorities within the Trust's area may be invited to inform the Trust of their community priorities. The Trust will be advised of key projects included in the local authorities long-term council community plans and/or annual or local community plans.

These local priorities will be considered when making grant recommendations and decisions.

### **14.3 Iwi, Hapuu and Mana Whenua Groups**

The Trust will seek to understand Iwi, Hapuu, Mana Whenua and community priorities, key projects, and strategic plans. The Trust acknowledges that this area includes much of the lands of the Tainui waka and thus the people of Waikato, Raukawa, Hauraki and Maniapoto.

## **15.0 Research Projects**

### **15.1 Support for Research**

The Trust may support research that aligns with its Ngaa Pou Rautaki and strategic priorities.

### **15.2 Tertiary Education Research**

The Trust is keen to work as a funding partner with tertiary education providers to assist the research being undertaken by the staff and students of these institutions, where the research fulfils the criteria set out in Appendix B.

If any of these institutions are undertaking research that they would like the Trust to consider then they should submit an application to the Trust as part of the Trust's usual grant process.

## **16.0 Conflicts of Interest**

Trustees and staff are required to declare any direct or indirect conflict of interest in relation to any application being considered. Trustees and staff are also required to disclose interests relating to the contractual or financial business of the Trust.

The full policy and procedures relating to the disclosure of interests are outlined in Section 9.0 of the Governance Manual.

Version		Date
	Policy revised	19 February 2007
	Final Policy adopted	17 December 2007
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	2010 Policy adopted	14 December 2009
	2011 Policy adopted	15 November 2010
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	2020 Policy adopted	5 December 2019
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	2022 Policy adopted	18 November 2021
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<b>A</b>	Annually	2026

## Appendices

### Appendix A - Guidelines for education applications

See Section 13.0 Areas of Government Responsibility.

#### Early Childhood Education (ECE)

##### Background

Trust Waikato has approved guidelines for assessing grant applications from the early childhood education (ECE) sector to ensure that its grants to ECE's reach the groups with the most significant needs and are aligned with the Trust priorities overall.

#### 1.0 How do we assess ECE applications?

- 1.1 Trust Waikato will assess applications from all for-purpose ECE's on a case-by-case basis.
- 1.2 Priority will be given to ECE's:
  - in rural locations,
  - in areas of deprivation,
  - who offer culturally specific services – Koohanga Reo, Pasifika,
  - who are less likely to receive significant government funding (for example, those not eligible for the 20 free hours scheme).
- 1.3 The Trust does not fund core educational functions such as books, computers, basic educational resources, operational expenses and teachers' training or salaries.

#### Primary and Secondary Education

See Section 8.3c Specific Provisos. Schools (including Parent Teacher Associations) must make applications through their Board of Trustees.

The Trust **does not** fund classrooms, teachers, teaching and learning resources, IT, libraries, sports equipment and other core facilities and resources in schools (except where the cost may legitimately be part of a community-based education programme).

- 1.0 The Trust may make a contribution to facilities located at schools, where there is clear evidence of wider community need, accessibility and availability of the facility. Past examples have included playgrounds, gymnasiums, halls, performing arts centres and swimming pools, which have been made permanently and affordably available to the wider community.
- 2.0 The Trust will consider applications from community-based organisations for programmes offered in schools.

The following questions will be considered when assessing such applications:

- Is the programme likely to improve significantly the educational or life outcomes for children and young people who might otherwise struggle?
- What evidence is there of successful outcomes?
- Is the programme sustainable beyond Trust Waikato funding?



## **Appendix B - Guidelines for applications to support research**

See Section 15.0 Research Projects.

- 1.0 The Trust may support research in line with its grant focuses and policies.
- 2.0 The Trust is keen to work as a funding partner with tertiary education providers to assist the research being undertaken by the staff and students of these institutions, where the research fulfils the criteria set out below.

If any of these institutions are undertaking research which they would like the Trust to consider then they should submit an application to the Trust as part of the Trust's grant process.

All staff applications from one institution should be compiled together by the Research Officer/Research Director of that institution and forwarded to the Trust.

An institution may apply for a lump sum to support student projects and then itself make the allocation to appropriate student projects, using the criteria set out below. The institution must report annually to the Trust on the allocations made. The institution will also be responsible for forwarding to the Trust an accountability report on all of the projects funded.

- 3.0 The Trust will assess any such applications, based on the criteria set out below. (It is also noted that the assessment of student research by the University of Waikato and the Waikato Institute of Technology, should follow similar lines).

### **Assessment Criteria for Research**

The Trust may fund research where:

- a. The research directly involves, or may provide a benefit to, a group which itself is eligible to receive a Trust Waikato grant, and the research aims to:
  - improve the effectiveness of the Trust's grant to that group, or
  - improve that group's operations, or
  - improve the lives of the beneficiaries of that group.
- b. In terms of individual research projects, the Trust will give priority (see Section 3.0, Current Grant Focus) to research focused on:
  - enhancing the intergenerational wellbeing of children, young people and whaanau/families,
  - increasing resilience and connectivity in rural/small communities,
  - increasing strengths-based initiatives that achieve positive outcomes for Maaori,
  - projects that protect our environment, preserve our history and promote understanding of, and which respond to, the culturally diverse nature of our region,
  - community groups which aim to support the community and community facilities through sustainable economic activity.

## **Appendix C - Kaupapa of National Significance – New Zealand-wide applications**

See section 6.5 Kaupapa of National Significance - New Zealand-wide Applications.

From time to time all New Zealand Community Trusts receive applications that have national benefit.

The following process has been agreed to by all Trusts:

- **Submitting an Application:** Individual Trusts work with community and identify grant seekers that fulfil the funding criteria. Wellington Community Fund will administer the grant process in their grant management system (FLUXX). The Grant Seeker will complete an application as normal in Wellington Fund's FLUXX system.
- **Assessing Applications:** The nominating Community Trust completes an initial assessment of the application. The Wellington Community Fund and the KONS Focus group, peer review the application and input the assessment details into FLUXX.
- **Decision Making:** Wellington Community Fund produces a grants report with funding recommendations. The decision-making group then makes the final funding decision. Wellington Fund administers the grant through FLUXX.
- **Trust Waikato - Process:** Any KONS Group application process must meet the policies and reporting requirements of Trust Waikato, including audit requirements.

## **Appendix C - Guidelines for preserving art and taonga of significance to the region**

- 1.0 The Trust wishes to contribute to the preservation of art and taonga significant to the Trust's region.
- 2.0 Granting towards future artwork purchases for the Trust Waikato Art and Taonga Collection will contribute to the Trust's priority to facilitate preserving art and taonga special to the region. In particular, the Trust acknowledges that:
  - a. art and taonga are important symbols of who we are and where we have come from. They help us define our regional and ethnic identities,
  - b. the region's art and taonga signify that this area is rich in cultural and artistic heritage,
  - c. granting towards the purchase of significant historical art and taonga ensures it stays in, or is returned to, the region.
- 3.0 The Trust recognises that there are other ways of preserving our heritage and it is open to such opportunities.